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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------------|----------------|----------------------|-------------------------|------------------|
| 10/629,373 | 07/29/2003 | Quock Ying Ng | STL11231 | 6237 |
| 7. | 590 04/07/2005 | | EXAMINER | |
| Seagate Technology LLC | | | HEINZ, ALLEN J | |
| 1280 Disc Drive Shakopee, MN 55379 | | | ART UNIT | PAPER NUMBER |
| | | | 2653 | |
| | | | DATE MAILED: 04/07/2009 | 5 |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | |
|---|---|--|--|--|--|--|
| Office A 41-11 October 1997 | 10/629,373 | NG ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| | A. J. HEINZ | 2653 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be timed within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE! | nely filed s will be considered timely. the mailing date of this communication. O (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) filed on | | | | | | |
| 2a) This action is FINAL . 2b) ☑ This | action is non-final. | | | | | |
| Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
| 4)⊠ Claim(s) <u>1-21</u> is/are pending in the application. | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6) Claim(s) <u>1-6,12,16,20 and 21</u> is/are rejected. |)⊠ Claim(s) <u>1-6,12,16,20 and 21</u> is/are rejected. | | | | | |
| · _ | ☑ Claim(s) <u>7-11,13-15 and 17-19</u> is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/or | r election requirement. | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | |
| 10)⊠ The drawing(s) filed on <u>29 July 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11) The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. | | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | |
| application from the International Bureau | • | | | | | |
| * See the attached detailed Office action for a list | of the certified copies not receive | d. | | | | |
| Attachment(s) | | | | | | |
| Notice of References Cited (PTO-892) | 4) Interview Summary | (PTO-413) | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Da | ite atent Application (PTO-152) | | | | |
| B) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 7/29/03. | 6) Other: <u>ADDENDUM</u> | | | | | |

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1. Claim 21 is rejected under 35 U.S.C. §112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The following phrase lacks clear antecedent basis within the claims; i.e. either the particularly recited passage fails to be properly introduced prior to its appearance at that point in the claim or the structure recited in the passage is not an inherent part of or component of other previously recited structure: "the means for filtering" (Cl.21, line 1).

An exhaustive search of indefinite and/or ambiguous language has not been attempted, but only exemplified in the preceding paragraphs. Therefore the applicant is responsible for a thorough review of all the claims to make corrections as appropriate.

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. §102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

⁽a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-5,20&21 are rejected under 35 U.S.C. §102(e) as being anticipated by Tuma.

See Figs. 5&6. Note, to the extent claimed and understood, the structure as shown in Addendum A reads on and performs to the same degree as claimed.

- 4. The following is a quotation of 35 U.S.C. §103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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5. Claims 6,12,16 are rejected under 35 U.S.C. §103(a) as being unpatentable over Tuma as applied to claim 1 above, and further in view of the known practice of using channels on either the interior or exterior of a base unit for creating a medium circulating path.

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Official notice is taken of such well known practice and therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporation it in Tuma's system.

Rationale: the different variations to the locating of the medium passages are simply alternative means of achieving the same results for the same purposes as that known in the art and therefore are readily substitutable equivalents.

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Beck and Voights[6208484] show variations of filter chamber construction.

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7. For a complete response applicant should identify how the claimed structure of his invention defines over **all** the art of record.

Moreover, where the applicant disagrees with the reasoning and/or application of the prior art on critical points of the claims, they should identify how the claimed structure of their invention defines over **all** the art of record not just the applied art.

Where applicant believes that the art is redundant and/or superfluous relative to the critical aspects of the claimed invention the applicant may simply state so in rebuttal summary.

- 8. Claims 7-11,13-15,17-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 9. If applicant has filed an information disclosure statement and this instant office action does not contain an initialed-off copy (or copies) of all such filed IDS's (or at least a comment to the disposition of such IDS'S in the body of the office action itself) applicant should apprise the examiner of such missing documentation [to the IDS's] in response to this office

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action so that the examiner can take appropriate action to supply same to the applicant.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to A. J. HEINZ whose telephone number is $(703)\ 308-1544$. The examiner can normally be reached on M-F 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, WILLIAM KORZUCH can be reached on (703) 305-6137. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A. J. HEINZ Primary Examiner Art Unit 2653

S. J. Keins